



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/059,702	01/29/2002	Peter Thoma	US 20 01 0465

CONFIRMATION NO. 5939

## FORMALITIES LETTER



\*OC000000007529345\*

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Stamford, CT 06901-2682

Date Mailed: 02/25/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: Thoma et al.  
Serial No.: 10/059,702  
For: DETERMINATION OF AN OPTICAL PARAMETER OF AN OPTICAL SIGNAL  
Filed: January 29, 2002  
Examiner: Not Yet Assigned  
Art Unit: 2877

Attorney Docket No.: US 20 01 0465

**Box MISSING PARTS  
COMMISSIONER FOR PATENTS  
Washington, D.C. 20231**

Dear Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney executed by Peter Thoma, Emmerich Mueller, Tobias Ruf, and Harald Rosenfeldt;
- ☐ The undersigned attorney has verified that the above-identified applicant is entitled to Small Entity Status.:
- ☐ Sheets of Formal Drawings;
- ☒ An Assignment of the Invention to: **Agilent Technologies, Inc.**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☐ A Certified Copy of the Priority Application;
- ☐ Information Disclosure Statement (Form - 1449); and

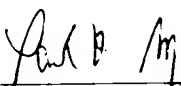
XX A copy of the Notice to File Missing Parts of Application Filing Date Granted.

     Petition and Fee for Extension of Time (37 CFR 1.136(A)).

XX A check in the amount of \$ 170.00 to cover the surcharge (\$130.00) and assignment recordation fee (\$40.00) is enclosed.

XX The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

March 21, 2002  
Date

  
\_\_\_\_\_  
Paul D. Greeley, Esq.  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
Attorney for Applicants  
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CERTIFICATE OF MAILING

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: BOX MISSING PARTS, COMMISSIONER FOR PATENTS, WASHINGTON, D.C. 20231, ON MARCH 21, 2002.

Joanne A. Romaniello  
NAME

  
SIGNATURE

3/21/02  
DATE